



**Procedural outline
for a common Brokerage Event
and
Expression of Interest**

Introduction

As a result of the CATRENE Director's Committee meeting which was held on 07 May 2009, a working group was set up in order to improve the co-existence of the programme CATRENE and ENIAC Joint Undertaking (JU).

The first meeting of this working group was organised on 22 July 2009. Participants included both R&D actors and Public (National and European) Authorities.

During the meeting, it was concluded that:

1. it was desirable to have a common brokerage event in October 2009;
2. this brokerage event would be followed by a common phase called "Expression of Interest". The results of the Expression of Interest phase would be used within the existing framework for the preparation of call(s) and/or allocation of budgets by the Public Authorities to both the CATRENE and ENIAC programmes.
3. the feedback received during the Expression of Interest phase, organised before the call of each programme, will allow for the improved synchronisation between the needs of industry with regards to the available funding.

Please note:

This document describes the process to realize the above.

The process is intended for potential R&D actors forming consortia to execute projects within CATRENE and / or ENIAC programs.

It is also intended for involved Public Authorities and for the offices of AENEAS and CATRENE, and ENIAC_JU

Organisation of a common Brokerage Event

The AENEAS and CATRENE offices will organise the common Brokerage Event and take care of logistics, accommodation as well as registrations.

In consultation with the respective support groups of AENEAS and CATRENE, the agenda of the event will be formulated and approved.

Agenda:

- The agenda will be based on the Multi Annual Strategic Plan and the White Book covering all subjects that can be submitted to either CATRENE or the ENIAC Joint Undertaking;
- The agenda will be divided into "chapters" which correspond to the above-mentioned subjects. The AENEAS and CATRENE Support Groups will nominate "chapter leaders". Chapter leaders will be responsible for the preparation of the Common Brokerage Event and for outcome report/follow-up;
- The agenda will include the presentation of the Expression of Interest phase and the organisation of the calls of the 2 programmes.

Schedule and logistics:

- Chapter leaders will be encouraged by the AENEAS and CATRENE offices to organise full day pre-meetings in order to ensure maximum efficiency during the common Brokerage Event;
- The amount of chapters will be around 8 (subject to the approval of the AENEAS and CATRENE Support Groups);
- The common Brokerage Event will take place on 15-16 October 2009 in Brussels;
- European R&D actors and Public Authorities (National and European) involved in nanoelectronics are invited to the common Brokerage Event;
- Apart from the details above, the structure of the event will be similar to the AENEAS Brokerage Event held in January 2009.

Organisation of Expression of Interest phase

The AENEAS and CATRENE offices are responsible for the organisation and handling of the Expressions of Interest phase.

Expressions of Interest are expected to result from the consortia building opportunities linked to the common Brokerage Event or from any other initiatives of R&D actors.

- R&D actors will be invited by the offices to submit Expressions of Interest by means of an on-line tool (similar to the ENIAC Proposal Service) that will be accessible shortly after the common Brokerage Event;
- The format and content structure of the Expressions of Interest will be imposed by the on-line tool and has been outline in the attached document (*see proposal for Expression of Interest.doc*);
- The AENEAS and CATRENE Offices will set up an online support service to help the consortia in the completion and submission of their Expression of Interests;
- The AENEAS and CATRENE Offices are the repository of all data received during the Expression of Interest phase and will manage this data in a confidential way according to the rules of confidentiality in place in the Offices;
- AENEAS and CATRENE will ask the National Public Authorities to support the organisation of the Expression of Interest phase in informing potentially interested R&D actors within their territory on;
- The deadline for submission of Expressions of Interest will be mid of November 2009;

The AENEAS and CATRENE offices will give all Public Authorities, which are in charge of the public funding of CATRENE and ENIAC_JU, access to the Expressions of Interest after

the submission deadline;. A meeting will be organized between PAs, Industry and the ENIAC_JU to exchange on the results of the EoI phase

Before the submission of an Expression of Interest, R&D actors are strongly encouraged to enter into contact and interact with their national Public Authorities as early as possible.

Follow up by Public Authorities

The Expression of Interest is an informal document which does not commit the author. At the same time, Public Authorities are not formally committed to act on the information expressed within the document.

However, the information included in the Expression of Interest could be used by Public Authorities in the preparation of their position in answering funding requests related to project calls. It could also be used to determine the budget allocation by the Public Authorities to each of the programmes.

According to the results of the Expressions of Interests, Public Authorities may decide to issue a plurality of calls and corresponding dedicated budgets. Particularly, such a plurality could be foreseen in order to increase the flexibility of ENIAC.

The formal process leading to funding starts with the call formulation. The Expression of Interest is to be seen as an informal pre-amble to this phase.